

## **NOTICE OF ANNUAL GENERAL MEETING**

Notice is hereby given that the 1<sup>st</sup> Annual General Meeting of the Members of Waterfield Fund Managers Private Limited (“the Company”) will be held on Monday, July 26, 2021 at 10.30 a.m. at 142, 14th Floor, Maker Chambers VI, 220 Jammalal Bajaj Marg, Nariman Point, Mumbai 400021, Maharashtra, India to transact the following business:

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### **ORDINARY BUSINESS**

#### **Item No. 1:**

#### **To consider and adopt the Audited Financial Statements of the Company for the financial year ended March 31, 2021 together with the Reports of the Board of Directors and Auditors thereon:**

“**RESOLVED THAT** pursuant to applicable provisions of the Companies Act, 2013 and rules made thereunder, audited Balance Sheet as at March 31, 2021 and the Profit and Loss Account of the Company for the financial year ended March 31, 2021 together with Schedules and Notes attached thereto and Cash Flow Statement for the financial year ended March 31, 2021 along with the Director’s Report and Auditor’s report received from M/s ZADN & Associates (ICAI Firm Registration No. 112306W) for the financial year ended on March 31, 2021 thereon, be and are hereby approved and adopted.

**RESOLVED FURTHER THAT** any of the director of the Company be and is hereby authorized to sign and submit requisite e-forms along with Annual Report and Annual Return as may be required to be filed with the Registrar of Companies, Mumbai, Maharashtra.

**RESOLVED FURTHER THAT** any of the directors of the Company or Mr. Kartik Kini, authorised signatory of the Company be and are hereby jointly or severally authorised to issue certified true copy of the resolution as may be required from time to time.”

#### **Item No. 2:**

#### **To appoint Statutory Auditors and to determine their remuneration.**

“**RESOLVED THAT** pursuant to the provisions of section 139 and 142 of the Companies Act, 2013 read with the Companies (Audit and Auditors) Rules, 2014, and other applicable provisions of the Companies Act, 2013 read with rules made thereunder (including any statutory modification(s) or re-enactment thereof for the time being in force), M/s. S.R. Batliboi & Co. LLP, Chartered Accountants, (Firm registration number:301003E/E300005) be and are hereby appointed as the Statutory Auditors of the Company for a period of five years to hold office from the date of conclusion of the ensuing Annual General Meeting of the Company till the conclusion of Annual General Meeting to be held during the year 2026 to examine and conduct audit of the accounts of the Company from Financial Year 2021-22 till Financial Year 2025-26, subject to their eligibility under section 141 of the Companies Act, 2013, at a remuneration as may be decided by the Board with the mutual consent of the auditors;



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**RESOLVED FURTHER THAT** the Board of Directors of the Company be and is hereby authorised to do all such acts, deeds, matters and things and take all such steps as may be necessary, proper or expedient to give effect to this resolution and matters connected therewith or incidental thereto.”

By order of the Board of Directors

For **WATERFIELD FUND MANAGERS PRIVATE LIMITED**

**Place:** Mumbai

**Date:** June 17, 2021

**Registered Office:** 142, Maker Chamber VI 220,  
Jamnalal Bajaj Marg, Nariman Point,  
Mumbai – 400051, Maharashtra, India.

**Soumya Rajan**  
**Director**  
**DIN: 03579199**



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## NOTES:

1. **A MEMBER ENTITLED TO ATTEND AND VOTE AT THE MEETING IS ENTITLED TO APPOINT A PROXY TO ATTEND AND VOTE INSTEAD OF HIMSELF / HERSELF AND THE PROXY NEED NOT BE A MEMBER OF THE COMPANY. THE PROXY FORM, IN ORDER TO BE EFFECTIVE, MUST BE DEPOSITED WITH THE COMPANY AT ITS REGISTERED OFFICE NOT LESS THAN 48 HOURS BEFORE THE SCHEDULED TIME FOR COMMENCEMENT OF THE MEETING.**
2. Route map and prominent landmark for easy location of venue of the Annual General Meeting (“AGM”) appended hereto
3. Corporate Members intending to send their authorized representative to attend the AGM are required to send a duly certified copy of the Board Resolution/ Power of Attorney/other valid authority, authorizing their representative to attend and vote at the Meeting, as required under Section 113 of the Companies Act, 2013.
4. Members/Proxies should bring the enclosed Attendance Slip duly filled in for attending the Meeting.
5. The Statutory Registers shall be produced at the commencement of the AGM and shall remain open and accessible during the continuance of the AGM to any person having the right to attend the AGM.
6. All documents referred to in the accompanying Notice and other related documents including the Copy of Memorandum of Association and Articles of Association of the Company are available for inspection by the Members at the Registered Office of the Company during the Business hours on all working days, except Saturdays, up to the date of the Annual General Meeting.



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## PROXY FORM (Form No. MGT-11)

[Pursuant to Section 105(6) of the Companies Act, 2013 and Rule 19(3) of the Companies (Management and Administration) Rules, 2014]

CIN	U65990MH2020PTC340389
Name Of the Company	Waterfield Fund Managers Private Limited
Registered Office	142, Maker Chamber VI 220 Jamnalal Bajaj Marg, Nariman Point Mumbai-400021, Maharashtra, India

### Annual General Meeting dated July 26, 2021

Name of the member(s)	
Registered Address	
Email ID	
Reg. Folio No/ Client Id*	
DP ID*	

(\* Applicable for Members holding Shares in electronic form)

I/We, being the member(s) of \_\_\_\_\_ equity shares of the above named company, hereby appoint:

Name	
Address	
Email Id	
Signature	

or failing him/her:

Name	
Address	
Email ID	
Signature	

or failing him/her:

Name	
Address	
Email ID	
Signature	

as my/our proxy to attend and vote (on a poll) for me/us and on my/our behalf at the 1<sup>st</sup> (first) Annual General Meeting of the Company, to be held on Monday, 26<sup>th</sup> July, 2021 at 142, Maker Chamber VI 220 Jamnalal Bajaj Marg, Nariman Point Mumbai-400021, Maharashtra, India at 10:30 AM and at any adjournment thereof in respect of such resolutions as are indicated below:



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Resolution Number	Resolution	Vote		
		For	Against	Abstain
1.	To receive, consider and adopt the audited annual financial statements of the Company consisting of the Balance Sheet as at March 31, 2021, the Statement of Profit and Loss and Cash Flow Statement for the financial year ended on that date together with the reports of the Board of Directors and the Auditors thereon.			
2.	To appoint Statutory Auditors and to determine their remuneration.			

Signed this ..... day of..... 2021

Signature of Shareholder \_\_\_\_\_

Signature of Proxy holder(s) \_\_\_\_\_

**Note: This form of proxy in order to be effective should be duly completed and deposited at the Registered Office of the Company, not less than 48 hours before the commencement of the Meeting.**



# WATERFIELD

## ATTENDANCE SLIP 1<sup>st</sup> (FIRST) ANNUAL GENERAL MEETING

AT 142, Maker Chamber VI 220 Jammalal Bajaj Marg, Nariman Point Mumbai-400021, Maharashtra, India on Monday, 26<sup>th</sup> July, 2021 at 10:30 AM

Name of first named Shareholder/ Proxy/ Authorised Representative	
DP ID*	
Regd. Folio No./Client ID*	
No. of Shares Held	

(\* Applicable for Members holding shares in electronic form)

I certify that I am a registered shareholder/proxy for the registered shareholder of the Company/authorised representative and hereby record my presence at the 1<sup>st</sup> (First) Annual General Meeting of the Members of Waterfield Fund Managers Private Limited held on Monday, 26<sup>th</sup> July, 2021 at 10:30 AM at 142, Maker Chamber VI 220 Jammalal Bajaj Marg, Nariman Point Mumbai-400021, Maharashtra, India of the Company.

\_\_\_\_\_  
Signature of first named Shareholder/ Proxy/ Authorised Representative

**Note: Please complete this attendance slip and hand it over at the entrance of the Meeting Hall**



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## Road Map to the Venue of AGM

